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Date of Deposit September 24, 2004

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Nobuyuki WATANABE  
Hisanori SAWADA  
Hideaki NISHIO  
Tomonori NAKAMURA  
Fumiaki MIURA  
Atsuki TOMIOKA

Appln. No.: To Be Assigned

Filed: September 24, 2004

For: TRANSMISSION METHOD,  
TRANSMISSION SYSTEM AND  
TERMINAL UNIT

Examiner: To Be Assigned  
Art Unit: To Be Assigned

Attorney Docket No: 9683/205

## INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
EP 0 813 132 A2	12/17/1997	EPO

Edited by ASCII Shoseki Henshubu "i-Mode Java Programming – Stand Alone Application Part revised new edition" <i>ASCII Coup.</i> , May 21, 2001, total 17 pages
Li, G. "Java Series Java2 Platform Security", <i>Kabushiki Kaisha Pearson Education</i> , First Edition, November 30, 2000, total 14 pages
Computer Today, No.87, September 1, 1998, total 9 pages

For the Examiner's convenience, Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). References A1-A4 were cited in an International Search Report issued in the PCT application from which the present application is being nationalized. Applicants are submitting a copy of the Search Report. References A2-A4 are in Japanese. Applicants are also submitting an English abstract for each of the references.

Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

September 24, 2004  
Date

Tadashi Horie  
Tadashi Horie (Reg. No. 40,437)

FORM PTO-1449	SERIAL NO. To Be Assigned	CASE NO. 9683/205
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE September 24, 2004	GROUP ART UNIT To Be Assigned
(use several sheets if necessary)		APPLICANT(S): Nobuyuki WATANABE et al.

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A					
	A					
	A					
	A					
	A					
	A					
	A					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A1	EP 0 813 132 A2	12/17/1997	EPO		
	A					
	A					
	A					
	A					

## OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER INITIAL		
	A2	Edited by ASCII Shoseki Henshubu "i-Mode Java Programming – Stand Alone Application Part revised new edition" <i>ASCII Coup.</i> , May 21, 2001, total 17 pages
	A3	Li, G. "Java Series Java2 Platform Security", <i>Kabushiki Kaisha Pearson Education</i> , First Edition, November 30, 2000, total 14 pages
	A4	Computer Today, No.87, September 1, 1998, total 9 pages
	A	
	A	
	A	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.